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Ambiguities in the X-ray Analysis of Crystal Structures*

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A mathematical construction is given for arbitrarily many distinct crystal structures all of which would give the same diffraction pattern. A. L. Patterson's concept of *homometric sets* is analyzed, and examples are given in one, two and three dimensions.

Let $\mathbf{a}_1, \mathbf{a}_2, \mathbf{a}_3$ be linearly independent vectors in three-dimensional space. Let \mathbf{A} be the three-by-three matrix whose columns are the \mathbf{a}_j . The vectors \mathbf{a}_j determine a lattice of points

$$\mathbf{A}\mathbf{n} = n_1\mathbf{a}_1 + n_2\mathbf{a}_2 + n_3\mathbf{a}_3 \quad (1)$$

where the n_j are integers. The *basic cell* of the lattice is the set of points

$$\mathbf{x} = \xi_1\mathbf{a}_1 + \xi_2\mathbf{a}_2 + \xi_3\mathbf{a}_3 \text{ with } 0 \leq \xi_j < 1. \quad (2)$$

The *reciprocal lattice* has the matrix

$$\mathbf{B} = (\mathbf{A}^T)^{-1} = (\mathbf{A}^{-1})^T. \quad (3)$$

Its columns \mathbf{b}_j satisfy

$$\begin{aligned} \mathbf{a}_k \cdot \mathbf{b}_j &= \delta_{kj} = 0 \text{ if } k \neq j \\ &= 1 \text{ if } k = j. \end{aligned} \quad (4)$$

The reciprocal lattice consists of the points $\mathbf{B}\mathbf{h}$, where the h_j are integers (called Miller indices).

Let the atoms in a crystal be located at $\mathbf{r}_1, \dots, \mathbf{r}_N$ in the basic cell (2) and at all *congruent* points $\mathbf{r}_j + \mathbf{A}\mathbf{n}$. By X-ray analysis, one tries to find the positions \mathbf{r}_j .

The F factor is defined to be

$$F(\mathbf{h}) = \sum_{s=1}^N f_s \exp 2\pi i \mathbf{h} \cdot \mathbf{r}_s. \quad (5)$$

For \mathbf{h} in the reciprocal lattice, observations are made of

$$|F(\mathbf{h})|^2 = \sum_{s=1}^N \sum_{t=1}^N f_s f_t \exp 2\pi i \mathbf{h} \cdot (\mathbf{r}_t - \mathbf{r}_s). \quad (6)$$

The f_s are positive numbers.

If the F factors were observed, the \mathbf{r}_s would be determined uniquely. Ambiguity results from observing $|F^2|$ instead of F .

In the following definitions, let X, Y, \dots represent finite non-empty point sets in the real Euclidian space of n dimensions. A set X is allowed to have repeated elements, but no ordering or indexing is prescribed. For instance, if $X = \{1, 1, 2\}$ in one dimension, then

$$X = \{1, 2, 1\} \text{ but } X \neq \{1, 2\}.$$

Given X and Y , we define the sets

$$\begin{aligned} X + Y &= \{\mathbf{x} + \mathbf{y}\} \text{ (}\mathbf{x} \text{ in } X, \mathbf{y} \text{ in } Y) & (7) \\ \lambda X &= \{\lambda \mathbf{x}\} \text{ (}\mathbf{x} \text{ in } X) \\ -X &= \{-\mathbf{x}\} \text{ (}\mathbf{x} \text{ in } X) \\ X + \mathbf{c} &= \{\mathbf{x} + \mathbf{c}\} \text{ (}\mathbf{x} \text{ in } X) \\ X - Y &= X + (-Y) = \{\mathbf{x} - \mathbf{y}\} \\ D(X) &= X - X. \end{aligned}$$

Thus, if X has m members, $D(X)$ has m^2 members, including at least m points $\mathbf{0}$.

Suppose

$$X = \{\mathbf{x}_1, \dots, \mathbf{x}_m\} \text{ and } Y = \{\mathbf{y}_1, \dots, \mathbf{y}_m\}. \quad (8)$$

We say

$$\begin{aligned} X &= Y \text{ if} \\ \mathbf{x}_1 &= \mathbf{y}_{j_1}, \dots, \mathbf{x}_m = \mathbf{y}_{j_m} \end{aligned}$$

where j_1, \dots, j_m is some permutation of $1, \dots, m$.

Let \mathbf{x} and \mathbf{y} be points in real n -dimensional space.

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Let A be an $n \times n$ matrix. We write

$$\mathbf{x} \equiv \mathbf{y} \pmod{A} \tag{9}$$

to mean

$$\mathbf{x} = \mathbf{y} + A\mathbf{k}$$

where \mathbf{k} is some vector with integer components, or equivalently

$$\mathbf{x} = \mathbf{y} + k_1\mathbf{a}_1 + \dots + k_n\mathbf{a}_n$$

where the k_j are integers and the \mathbf{a}_j are the columns of A .

If X and Y are the sets (8) in n dimensions, we say

$$X \equiv Y \pmod{A} \tag{10}$$

if

$$\mathbf{x}_k \equiv \mathbf{y}_{j_k} \pmod{A} \quad (k = 1, \dots, m)$$

where j_1, \dots, j_m is some permutation of $1, \dots, m$.

If X is a (finite, non-empty, real) set in n dimensions, we define the complex-valued Fourier-sum transform

$$\varphi(\mathbf{h}, X) = \sum_{\mathbf{x} \in X} \exp 2\pi i \mathbf{h} \cdot \mathbf{x} \tag{11}$$

for all real \mathbf{h} in n dimensions. Note that

$$|\varphi(\mathbf{h}, X)|^2 = \varphi[\mathbf{h}, D(X)] \tag{12}$$

$$\varphi(\mathbf{h}, -X) = \varphi^*(\mathbf{h}, X) \tag{13}$$

$$\varphi(\mathbf{h}, X + Y) = \varphi(\mathbf{h}, X)\varphi(\mathbf{h}, Y) \tag{14}$$

[By φ^* in (13) we mean the complex conjugate of φ . Thus, φ is real if $X = -X$.) The function φ is just an F factor if all the f factors are replaced by 1.

In the basic cell of a crystal, let

$$R = \{\mathbf{r}_1, \dots, \mathbf{r}_N\}$$

be the set of positions of the atoms. By observing $|F(\mathbf{h})|^2$ for \mathbf{h} in the reciprocal lattice, one finds $D(R) \pmod{A}$. Note that one does not find $D(R)$, but only $D(R) \pmod{A}$. The question is: *to what extent does $D(R) \pmod{A}$ determine R ?*

There are trivial modifications of R that leave the difference set unchanged. If

$$S \equiv \pm R + \mathbf{c} \pmod{A} \tag{15}$$

where \mathbf{c} is any single vector, then

$$D(S) \equiv D(R) \pmod{A} \tag{16}$$

If sets R and S satisfy

$$S \not\equiv \pm R + \mathbf{c} \pmod{A}, \text{ but } D(S) \equiv D(R) \pmod{A} \tag{17}$$

we will say that the sets S and R are homometric *mod* A . Patterson (1944) called such sets *homometric* – without explicit reference to the lattice matrix A ; but we will reserve the term *homometric* for sets R and S that satisfy

$$S \not\equiv \pm R + \mathbf{c}, \text{ but } D(S) = D(R) \tag{18}$$

Here equality replaces congruence. Patterson's attention was called to this problem by a practical example discovered by Pauling & Shappell (1930). The mathematical definitions (17) and (18) can be made for sets in

n -dimensional space. Patterson gave many examples of homometric sets *mod* A in one dimension, and he suggested a general perturbation method for constructing homometric sets *mod* A in higher dimensions.

The purpose of this paper is to give some theory and examples of strictly homometric sets, satisfying (18).

Here is an example of homometric sets *mod* A in one dimension. It is due to Patterson (1944, p. 197, Fig. 2):

$$R = \{0, 1, 4, 7\}, \quad S = \{0, 4, 5, 7\}, \quad A = 8. \tag{19}$$

It is easy to verify that $S \not\equiv \pm R + \mathbf{c} \pmod{8}$ for any \mathbf{c} . Next, we look at the difference-sets:

$$D(R) = \{0, 0, 0, 0, 1, -1, 4, -4, 7, -7, 3, -3, 6, -6, 3, -3\} \tag{20}$$

$$D(S) = \{0, 0, 0, 0, 4, -4, 5, -5, 7, -7, 1, -1, 3, -3, 2, -2\}.$$

The difference sets are *not* equal; but they are congruent *mod* 8:

$$D(R) \equiv D(S) \equiv \{0, 0, 0, 0, 1, 1, 2, 3, 3, 4, 4, 5, 5, 6, 7, 7\} \tag{21}$$

So these sets are homometric *mod* A – but not homometric.

Garrido (1951) has also discussed sets that are not homometric, but are homometric *mod* A .

Suppose we know that sets S and R are homometric. Given a non-singular lattice matrix A , we would like to say that S and R are homometric *mod* A . Indeed, the equation $D(S) = D(R)$ implies the congruence $D(S) \equiv D(R) \pmod{A}$. But, unfortunately, the inequality $S \not\equiv \pm R + \mathbf{c}$ does *not* imply the incongruence $S \not\equiv \pm R + \mathbf{c} \pmod{A}$. For example,

$$\{0, 1\} \not\equiv \pm \{0, 2\} + \mathbf{c} \quad \text{for any } \mathbf{c}$$

but

$$\{0, 1\} \equiv \{0, 2\} + 1 \pmod{3}.$$

The following theorem implies that, if S and R are homometric, then S and R are also homometric *mod* (λA) for all scalars λ *near* one. So if we cannot use the matrix A , we can use any nearby matrix λA .

Theorem 1. In real n -dimensional space, let R and S be finite point sets such that

$$S \not\equiv \pm R + \mathbf{c} \quad \text{for any } \mathbf{c}. \tag{22}$$

Let A be an $n \times n$ non-singular matrix. Then for some $\varepsilon > 0$

$$S \equiv \pm R + \mathbf{c} \pmod{(\lambda A)} \quad \text{for any } \mathbf{c} \tag{23}$$

provided $0 < |\lambda - 1| < \varepsilon$.

Proof. Let $\sigma = \pm 1$. Suppose

$$S \equiv \sigma R + \mathbf{c} \pmod{\lambda A} \tag{24}$$

That means, if R and S each contain m points correspondingly indexed,

$$\mathbf{s}_j = \sigma \mathbf{r}_j + \mathbf{c} + \lambda A \mathbf{k}_j \quad (j = 1, \dots, m)$$

where the vectors \mathbf{k}_j have *integer* coordinates. Summation yields

$$m\mathbf{c} = m\mathbf{b} - \lambda \mathbf{A}\mathbf{k} \quad (25)$$

where

$$m\mathbf{b} = \sum_{j=1}^m (\mathbf{s}_j - \sigma\mathbf{r}_j) \quad (26)$$

and

$$\mathbf{k} = \sum_{j=1}^m \mathbf{k}_j. \quad (27)$$

Therefore, for $j=1, \dots, m$,

$$\mathbf{s}_j = \sigma\mathbf{r}_j + \left(\mathbf{b} - \frac{\lambda}{m} \mathbf{A}\mathbf{k} \right) + \lambda \mathbf{A}\mathbf{k}_j$$

and, for $j=1, \dots, m$

$$m\mathbf{A}^{-1}(\mathbf{s}_j - \sigma\mathbf{r}_j - \mathbf{b}) = \lambda \mathbf{h}_j \quad (28)$$

where \mathbf{h}_j is the vector $m\mathbf{k}_j - \mathbf{k}$, which has *integer* components.

But $\mathbf{S} \neq \sigma\mathbf{R} + \mathbf{b}$. Therefore, some of the vectors $\lambda \mathbf{h}_j$ are non-zero. The non-zero scalar components of these vectors constitute a *finite* set of real numbers, Ω . Now (28) implies that λ is a number in Ω divided by an integer:

$$\lambda = \omega/N (\omega \in \Omega, N = \pm 1, \pm 2, \pm 3, \dots).$$

But these numbers have 0 as their *only* limit point. Therefore, some set $0 < |\lambda - 1| < \varepsilon$ contains *none* of the numbers $\lambda = \omega/N$. But this is the assertion (23).

Theorem 2. For finite point-sets, the equation

$$\mathbf{X} + \mathbf{Y} = \mathbf{X} + \mathbf{Z} \text{ implies } \mathbf{Y} = \mathbf{Z}. \quad (29)$$

Proof. If $\mathbf{X} + \mathbf{Y} = \mathbf{X} + \mathbf{Z}$, then the Fourier sums (11) satisfy

$$\varphi(\mathbf{h}, \mathbf{X}) \varphi(\mathbf{h}, \mathbf{Y}) = \varphi(\mathbf{h}, \mathbf{X}) \varphi(\mathbf{h}, \mathbf{Z}) \text{ for all } \mathbf{h}. \quad (30)$$

The function $\varphi(\mathbf{h}, \mathbf{X})$ is not identically zero, since for $\mathbf{h} = \mathbf{0}$ it equals the number of elements in the non-empty set \mathbf{X} . But $\varphi(\mathbf{h}, \mathbf{X})$ is an analytic function of the n coordinates h_1, h_2, \dots of the vector \mathbf{h} . Therefore, by the principle of analytic continuation, $\varphi(\mathbf{h}, \mathbf{X})$ cannot vanish for all \mathbf{h} in *any* sphere $|\mathbf{h} - \mathbf{c}| < \varepsilon$.

If $\varphi(\mathbf{h}, \mathbf{X}) \neq 0$, (30) implies

$$\varphi(\mathbf{h}, \mathbf{Y}) = \varphi(\mathbf{h}, \mathbf{Z}). \quad (31)$$

But we know that if $\varphi(\mathbf{c}, \mathbf{X}) = 0$, then the point \mathbf{c} is the limit of points \mathbf{h} at which $\varphi(\mathbf{h}, \mathbf{X}) \neq 0$. Since both sides of equation (31) are continuous functions of \mathbf{h} , this equation must hold for *all* \mathbf{h} .

But the identity of the transforms (31) implies the identity of the sets \mathbf{Y} and \mathbf{Z} . That is because, for any finite set \mathbf{W} , the average over all \mathbf{h} ,

$$\text{average} [\varphi(\mathbf{h}, \mathbf{W}) \cdot \exp(-2\pi i \mathbf{h} \cdot \mathbf{p})] \quad (32)$$

equals 0 if \mathbf{p} is not in \mathbf{W} or equals the multiplicity with which \mathbf{p} occurs in \mathbf{W} if $\mathbf{p} \in \mathbf{W}$. If we multiply the identity (31) by $\exp(-2\pi i \mathbf{h} \cdot \mathbf{p})$ and average over \mathbf{h} , we conclude $\mathbf{Y} = \mathbf{Z}$.

In this proof, we used an average (32) over all \mathbf{h} in real, n -dimensional space. That means this: we integrate over the set

$$-\frac{1}{2}L \leq h_j \leq \frac{1}{2}L \quad (j=1, \dots, n)$$

divide by the volume, L^n , and take the limit as $L \rightarrow \infty$.]

The analog of Theorem 2 for congruence is false. For example, if $\mathbf{A} = 6$ and if

$$\mathbf{X} = \{0, 2, 4\}, \mathbf{Y} = \{0, 1\}, \text{ and } \mathbf{Z} = \{0, 3\}$$

then

$$\mathbf{X} + \mathbf{Y} \equiv \mathbf{X} + \mathbf{Z} \equiv \{0, 1, 2, 3, 4, 5\} \pmod{6}$$

but $\mathbf{Y} \not\equiv \mathbf{Z} \pmod{6}$. (In fact, $\mathbf{Y} \not\equiv \pm \mathbf{Z} + \mathbf{c}$ for any \mathbf{c} .)

Now we are almost ready to construct some homometric sets. First we need the idea of a centric (or centrosymmetric) set \mathbf{S} . This is a set satisfying

$$\mathbf{S} - \mathbf{c} = -(\mathbf{S} - \mathbf{c}) \quad (33)$$

for some \mathbf{c} . For *finite* sets, the center of symmetry is unique: it is the center of mass of \mathbf{S} if every point is given unit weight;

$$\mathbf{c} = \frac{1}{m} (\mathbf{s}_1 + \dots + \mathbf{s}_m) \quad (34)$$

if $\mathbf{S} = \{\mathbf{s}_1, \dots, \mathbf{s}_m\}$. If a set \mathbf{R} is not centric, we will call it *acentric*. Every acentric set has at least three points.

Theorem 3. If the sets \mathbf{X} and \mathbf{Y} are both acentric, then the sets $\mathbf{X} + \mathbf{Y}$ and $\mathbf{X} - \mathbf{Y}$ are homometric.

Example 1. In one dimension, let

$$\mathbf{X} = \{0, 4, 9\} \text{ and } \mathbf{Y} = \{0, 1, 3\}.$$

These sets are acentric. The theorem implies

$$\mathbf{X} + \mathbf{Y} + \mathbf{a} \text{ and } \mathbf{X} - \mathbf{Y} + \mathbf{b} \text{ are homometric} \quad (35)$$

for any \mathbf{a} and \mathbf{b} . In this example, we will choose $\mathbf{a} = 0$ and $\mathbf{b} = 3$. Then (35) yields the two homometric sets

$$\begin{aligned} \mathbf{X} + \mathbf{Y} &= \{0, 1, 3, 4, 5, 7, 9, 10, 12\} \\ \mathbf{X} - \mathbf{Y} + 3 &= \{0, 2, 3, 4, 6, 7, 9, 11, 12\}. \end{aligned} \quad (36)$$

Example 2. The theorem permits \mathbf{X} to equal \mathbf{Y} . Let $\mathbf{X} = \mathbf{Y} = \{0, 1, 3\}$. Then we get the homometric sets

$$\begin{aligned} \mathbf{X} + \mathbf{Y} &= \{0, 1, 1, 2, 3, 3, 4, 4, 6\} \\ \mathbf{X} - \mathbf{Y} &= \{-3, -2, -1, 0, 0, 1, 2, 3\}. \end{aligned} \quad (37)$$

Here $\mathbf{X} + \mathbf{Y}$ is an acentric set, while $\mathbf{X} - \mathbf{Y}$ is centric; that is true in general if $\mathbf{X} = \mathbf{Y}$ and \mathbf{X} is acentric. Both sets have non-distinct points.

Example 3. In two dimensions, let \mathbf{X} and \mathbf{Y} be the acentric sets

$$\begin{array}{c} x \\ x \quad y \\ x \quad x \quad \text{and} \quad y \quad y. \end{array}$$

Let $\mathbf{R} = \mathbf{X} + \mathbf{Y}$ and $\mathbf{S} = \mathbf{X} - \mathbf{Y}$:

$$\begin{array}{c} r \quad s \\ r \quad r \quad s \\ r \quad r \quad s \quad s \quad s \\ r \quad r \quad r \quad \text{and} \quad s \quad s. \end{array}$$

Let \mathbf{p}_0 be some point in P_0 such that $-\mathbf{p}_0$ is not in P_0 . (44) implies

Then (41) implies

$$\tau_0 \mathbf{p}_0 + \sum_{j=1}^h \alpha_j \tau_j \mathbf{p}_j = -(\tau_0 \mathbf{q}_0 + \sum_{j=1}^k \beta_j \tau_j \mathbf{q}_j) \quad (46)$$

for some \mathbf{q}_0 in P_0 and some \mathbf{p}_j and \mathbf{q}_j in P_j ($j=1, \dots, n$).

Then

$$\tau_0(\mathbf{p}_0 + \mathbf{q}_0) + \sum_{j=1}^k \tau_j(\alpha_j \mathbf{p}_j + \beta_j \mathbf{q}_j) = 0. \quad (42)$$

But $\mathbf{q}_0 \neq -\mathbf{p}_0$, and all the points

$$\mathbf{p}_0 + \mathbf{q}_0, \alpha_j \mathbf{p}_j + \beta_j \mathbf{q}_j \quad (j=1, \dots, k)$$

have *rational* coordinates. The linear independence of τ_0, \dots, τ_k over the rationals now implies that (42) is impossible. Therefore (41) is impossible.

Suppose instead:

$$R(\alpha_1, \dots, \alpha_k) = R(\beta_1, \dots, \beta_k). \quad (43)$$

Define the set of indices

$$J = \{j \text{ such that } \alpha_j \neq \beta_j\}. \quad (44)$$

Assume J is not empty. Then (43) and Theorem 3 imply

$$\sum_{j \in J} \alpha_j \tau_j \mathbf{p}_j = \sum_{j \in J} \beta_j \tau_j \mathbf{p}_j. \quad (45)$$

Now we proceed as before: let h be a particular member of J , and let \mathbf{p}_h , but not $-\mathbf{p}_h$, lie in P . Now

where \mathbf{p}_j and \mathbf{q}_j are in J . Since $\alpha_j = -\beta_j$ for $j \in J$, we find

$$\sum_{j \in J} \alpha_j \tau_j (\mathbf{p}_j + \mathbf{q}_j) = 0 \quad (47)$$

where $\mathbf{p}_j + \mathbf{q}_j \neq 0$ for $j \in J$. But then (47) is impossible because the τ_j are independent over the rationals. Therefore, J must be empty; in other words, (43) implies $\alpha_j = \beta_j$ ($j=1, \dots, n$).

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Probability Distribution of Bijvoet Differences. II*

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The earlier theoretical treatment of the probability distribution of Bijvoet differences [Parthasarathy & Srinivasan (1964). *Acta Cryst.* **17**, 1400–1407], has been extended to four new situations, namely, when the non-anomalous scatterers (Q) take up centrosymmetric configuration with the anomalous scatterers (P) corresponding to P =one, P =two, P =many atoms with centrosymmetric (MC) and P =many atoms with non-centrosymmetric (MNC) configuration. The theoretical distributions have been verified with hypothetical models.

Introduction

The probability distribution of the Bijvoet differences in the presence of anomalous scatterers in a non-centrosymmetric crystal was considered by Parthasarathy & Srinivasan (1964, hereafter referred to as

part I). This had led to useful information on the optimum condition for measuring Bijvoet differences. The Bijvoet ratio has been considered by Parthasarathy & Parthasarathi (1973). In all these studies four situations have generally been considered for which probability distributions were derived in part I. These correspond to the Q atoms (light atoms) being non-centrosymmetric with the P atoms (anomalous scatterers) being one of the four types, namely (i) P =one, (ii) P =two, (iii) P =many atoms with centrosymmetric

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